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June 12, 2020

ADVICE LETTER NO. 1298

TO THE PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA

California-American Water Company ("California American Water") (U210W) hereby submits for filing the following tariff sheets applicable to its Monterey Service Area in the Central Division which are attached hereto:

<b><u>C.P.U.C. Sheet No.</u></b>	<b><u>Title of Sheet</u></b>	<b><u>Canceling Sheet No.</u></b>
XXXX-W	Schedule No. MO-1-SF (Continued) GENERAL METERED SERVICE in the Monterey Service Area Tariff SINGLE FAMILY RESIDENTIAL CUSTOMERS	NEW
XXXX-W	Schedule No. MO-1-MF (Continued) GENERAL METERED SERVICE in Monterey Service Area Tariff Area MULTI-FAMILY RESIDENTIAL CUSTOMERS	NEW
XXXX-W	Schedule No. MO-1-C (Continued) GENERAL METERED SERVICE in Monterey Service Area Tariff Area NON-RESIDENTIAL CUSTOMERS	NEW
XXXX-W	Schedule No. MO-1-O (Continued) GENERAL METERED SERVICE in Monterey Service Area Tariff Area OTHER CUSTOMERS	NEW
XXXX-W	TABLE OF CONTENTS (Page 2)	9462-W
XXXX-W	TABLE OF CONTENTS (Page 1)	9463-W

**Purpose:**

California American Water requests authority pursuant to General Order (GO) 96-B, Water Industry Rules, Section 7.3.1, and Section 454 of the Public Utilities Code to offset purchased water expenses it incurs from the Monterey Peninsula Water Management District related to the Pure Water Monterey project.

In accordance with Section 4.3 of General Order No. 96-B, a copy of this advice letter is being sent to those entities listed in Exhibit 1.

**Background:**

Submission of these tariffs is made in compliance with Ordering Paragraph 1 of Decision (D.)16-09-021, issued September 22, 2016 which states:

1. *California-American Water Company (Cal-Am) is authorized to enter into the Revised Water Purchase Agreement contained in Appendix C.*

The Revised Water Purchase Agreement (RWPA) (Attachment A) provides that the Monterey Peninsula Water Management District (MPWMD) shall provide California American Water with written notice of the Company Water Rate prior to the Performance Start Date of the Pure Water Monterey project.<sup>1</sup> The approved RWPA then provides that upon receiving the written notice California American Water “shall file a Tier 1 advice letter for rate recovery with the CPUC to update its rates and tariffs, and in doing so establish a surcharge rate to reflect the Company Water Rate.” As set forth in the RWPA, “[i]n the first year following the Performance Start Date, the Company Water Rate shall not exceed \$1,720 per acre foot (the ‘Soft Cap’).”<sup>2</sup>

Written notice of the Company Water Rate was provided to California American Water on April 29, 2020. In this notice, MPWMD and Monterey One Water (collectively “the public agencies”) informed California American Water the Company Water Rate would be set at \$1,720 per AF. The public agencies have further informed California American Water that the Performance Start Date will be on or about August 10, 2020. California American Water therefore files this Tier 1 Advice Letter to establish the Company Water Rate at \$1,720 per AF in conformance with the Commission approved RWPA.

The public agencies also informed California American Water that year one water purchase costs will exceed the soft cap of \$1,720 per AF. In the April 29, 2020 notice, California American Water was advised the projected Company Water Rate had increased to \$2,442 for FY 2020-21, and \$2,639 for 2021-2022. To the extent Pure Water Monterey seeks to charge an amount in excess of the approved \$1,720 AF soft-cap, California American Water will be required to file a separate Tier 2 advice letter seeking approval of the higher rate.<sup>3</sup> California American Water will continue to work with the public agencies to obtain the information needed to support the projected Company Water Rate for FY 2020-21.<sup>4</sup>

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<sup>1</sup> As stated in D.16-09-015, at page 1, the RWPS “provides that the Monterey Regional Water Pollution Control Agency sells purified water from its advanced treated Pure Water Monterey Ground Water Replenishment Project to the Monterey Peninsula Water Management District, which will in turn sell it to Cal-Am for distribution to ratepayers in the Monterey District service area.” The Monterey Regional Water Pollution Control Agency is now known as Monterey One Water and the Pure Water Monterey Ground Water Replenishment Project is referred to as the Pure Water Monterey project.

<sup>2</sup> Attachment A, Section 16, page 11 of 33.

<sup>3</sup> Attachment A, Section 16, page 11 of 33.

<sup>4</sup> California American Water has requested information from the public agencies supporting a higher Company Water Rate, including detailed information related to fixed project costs and estimated operations and maintenance expenses, as well as data regarding the initial performance of the Pure

At this time, California American Water is only seeking to establish the Company Water Rate at \$1,720 per AF, which is within the soft cap approved by the Commission in D.16-09-021. Increases in rates charged by Monterey Peninsula Water Management District result in a \$6,020,000 annual increase in purchased water expenses to California American Water's Monterey Main Service Area, plus a corresponding \$30,948.82 increase in uncollectibles, for a total expense increase of \$6,050,949. This increase is directly related to water consumption and thus should be applied to the quantity rate.

The resulting increase is a surcharge of \$1.5846 per hundred cubic feet (ccf) or \$0.2118 per hundred gallons (cgl).

The offset requested represents an annual increase of \$6,050,949 or 8.65% over the latest revenue requirement approved by the Commission in the 2020 Central Division Step Rate Filing (AL 1271).

**Request:**

California American Water Company requests authorization to implement a purchased water surcharge totaling \$1.5846 per ccf (\$0.2118 per 100 gallons) for Monterey Main Service Area customers.

**Tier Designation:**

This advice letter is submitted with a Tier 1 designation.

**Effective Date:**

California American Water requests an effective date on or about August 10, 2020.

**Notice:**

Notice is not required prior to the effective date per General Order 96B, Water Industry Rule 7.3.1(7). California American Water will provide notice of the increase with implementation of the surcharge through bill message.

**RESPONSE OR PROTEST**<sup>5</sup>

In addition to being provided to the Service List, this advice letter is being provided to parties on the service list of Application 12-04-019.

Anyone may submit a response or protest for this AL. When submitting a response or protest, **please include the utility name and advice letter number in the subject line.**

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Water Monterey project. California American Water recently renewed its information request on May 9, 2020. Although California American Water has not received information requested, Monterey One Water informed California American Water on June 8, 2020 that it should file its Tier 2 advice letter. California American Water has indicated to the public agencies, including by letter dated June 12, 2020, that it will make the Tier 2 filing after the requested information has been provided.

<sup>5</sup> G.O. 96-B, General Rule 7.4.1

A **response** supports the filing and may contain information that proves useful to the Commission in evaluating the AL. A **protest** objects to the AL in whole or in part and must set forth the specific grounds on which it is based. These grounds<sup>6</sup> are:

1. The utility did not properly serve or give notice of the AL;
2. The relief requested in the AL would violate statute or Commission order, or is not authorized by statute or Commission order on which the utility relies;
3. The analysis, calculations, or data in the AL contain material error or omissions;
4. The relief requested in the AL is pending before the Commission in a formal proceeding; or
5. The relief requested in the AL requires consideration in a formal hearing, or is otherwise inappropriate for the AL process; or
6. The relief requested in the AL is unjust, unreasonable, or discriminatory, provided that such a protest may not be made where it would require re-litigating a prior order of the Commission.
7. A protest may not rely on policy objections to an AL where the relief requested in the AL follows rules or directions established by statute or Commission order applicable to the utility. A protest shall provide citations or proofs where available to allow staff to properly consider the protest.

DWA must receive a response or protest via email (**or** postal mail) within 20 days of the date the AL is filed. When submitting a response or protest, **please include the utility name and advice letter number in the subject line.**

The addresses for submitting a response or protest are:

**Email Address:**

[Water.Division@cpuc.ca.gov](mailto:Water.Division@cpuc.ca.gov)

**Mailing Address:**

CA Public Utilities Commission  
Division of Water and Audits  
505 Van Ness Avenue  
San Francisco, CA 94102

On the same day the response or protest is submitted to DWA, the respondent or protestant shall send a copy of the protest to California American Water at:

**Email Address:**

[lakhjit.thind@amwater.com](mailto:lakhjit.thind@amwater.com)

**Mailing Address:**

4701 Beloit Drive  
Sacramento, CA 95838

[sarah.leeper@amwater.com](mailto:sarah.leeper@amwater.com)

555 Montgomery Street, Ste. 916  
San Francisco, CA 94111

Cities and counties that need Board of Supervisors or Board of Commissioners approval to protest should inform DWA, within the 20 day protest period, so that a late filed protest can be entertained. The informing document should include an estimate of the date the proposed protest might be voted on.

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<sup>6</sup> G.O. 96-B, General Rule 7.4.2

**REPLIES**<sup>7</sup>

The utility shall reply to each protest and may reply to any response. Any reply must be received by DWA within five business days after the end of the protest period, and shall be served on the same day on each person who filed the protest or response to the AL.

CALIFORNIA-AMERICAN WATER COMPANY

*/s/ Lakhjit Thind*

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Lakhjit Thind  
Financial Analyst

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<sup>7</sup> G.O. 96-B, General Rule 7.4.3

655 W. Broadway, Suite 1410

San Diego, CA 92101

Schedule No. MO-1-SF (Continued)

Sheet 9

GENERAL METERED SERVICE in the Monterey Service Area Tariff Area  
SINGLE FAMILY RESIDENTIAL CUSTOMERS

**SPECIAL CONDITIONS (continued):**

**Fees and Surcharges:**

14. Per Advice Letter 1298, a surcharge is applied to each bill to offset increases in purchased water costs imposed by Monterey Peninsula Water Management District (MPWMD). The offset results in a needed increase of \$6,050,949 or 8.65%. The surcharge of \$0.2118 per cgl is added to the quantity charge beginning August 10, 2020.

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(TO BE INSERTED BY UTILITY)

ISSUED BY

(TO BE INSERTED BY C.P.U.C.)

Advice 1298

J. T. LINAM

Date Filed \_\_\_\_\_

Decision

DIRECTOR - Rates & Regulatory

Effective \_\_\_\_\_

Resolution \_\_\_\_\_

655 W. Broadway, Suite 1410

San Diego, CA 92101

Schedule No. MO-1-MF (Continued)

Sheet 9

GENERAL METERED SERVICE in the Monterey Service Area Tariff Area  
MULTI-FAMILY RESIDENTIAL CUSTOMERS

**SPECIAL CONDITIONS (continued):**

**Fees and Surcharges:**

14. Per Advice Letter 1298, a surcharge is applied to each bill to offset increases in purchased water costs imposed by Monterey Peninsula Water Management District (MPWMD). The offset results in a needed increase of \$6,050,949 or 8.65%. The surcharge of \$0.2118 per cgl is added to the quantity charge beginning August 10, 2020.

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(TO BE INSERTED BY UTILITY)

ISSUED BY

(TO BE INSERTED BY C.P.U.C.)

Advice 1298

J. T. LINAM

Date Filed \_\_\_\_\_

Decision

DIRECTOR - Rates & Regulatory

Effective \_\_\_\_\_

Resolution \_\_\_\_\_



655 W. Broadway, Suite 1410

San Diego, CA 92101

Schedule No. MO-1C (Continued)  
GENERAL METERED SERVICE in the Monterey Service Area Tariff Area  
NON-RESIDENTIAL CUSTOMERS

Sheet 11

**SPECIAL CONDITIONS (continued):**

**Fees and Surcharges:**

14. Per Advice Letter 1298, a surcharge is applied to each bill to offset increases in purchased water costs imposed by Monterey Peninsula Water Management District (MPWMD). The offset results in a needed increase of \$6,050,949 or 8.65%. The surcharge of \$0.2118 per cgl is added to the quantity charge beginning August 10, 2020.

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(TO BE INSERTED BY UTILITY)

ISSUED BY

(TO BE INSERTED BY C.P.U.C.)

Advice 1298

J. T. LINAM

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DIRECTOR - Rates & Regulatory

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655 W. Broadway, Suite 1410

San Diego, CA 92101

Schedule No. MO-10 (Continued)

Sheet 9

GENERAL METERED SERVICE in the Monterey Service Area Tariff Area  
OTHER CUSTOMERS

**SPECIAL CONDITIONS (continued):**

**Fees and Surcharges:**

- 13. Per Advice Letter 1298, a surcharge is applied to each bill to offset increases in purchased water costs imposed by Monterey Peninsula Water Management District (MPWMD). The offset results in a needed increase of \$6,050,949 or 8.65%. The surcharge of \$0.2118 per cgl is added to the quantity charge beginning August 10, 2020.

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(TO BE INSERTED BY UTILITY)

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Advice 1298

J. T. LINAM

Date Filed \_\_\_\_\_

Decision

DIRECTOR - Rates & Regulatory

Effective \_\_\_\_\_

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Sacramento- Dunnigan		8163-W
Sacramento- Geyserville		8321-W
Sacramento - Meadowbrook		8512-W
San Diego County		6601-W, 6602-W
Ventura County		6603-W, 6604-W
<u>RATE SCHEDULES:</u>		
All Districts -- Low Income Ratepayer Assistance Program		
CA-LIRA	California American Water Low Income Ratepayer Assistance Program	9396-W, 9431-W, 9418-W, 9404-W, 9405-W, 9127-W, 7724-W, 7725-W, 7726-W
CA-4	California American Water Private Fire Protection Service	9151-W, 9152-W, 7739-W, 9231-W, 9446-W, 9447-W, 9448-W, 9449-W 9450-W
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LA-1	General Metered Service	9400-W, 9401-W, 9402-W, 8980-W, 9330-W, 9440-W
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Monterey County District <i>(Monterey Main, Hidden Hills, Ryan Ranch, &amp; Bishop Service Areas)</i>		
MO-1-SF	General Metered Service Single Family Residential Customers	9428-W, 9409-W, 8944-W, 8945-W, 9118-W, 8947-W, 9341-W, 9434-W, XXXX-W (C)
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MO-1O	General Metered Service Other Customers	9413-W, 9414-W, 8967-W, 8968-W, 9121-W, 8970-W, 9344-W, 9437-W, XXXX-W (C)

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(TO BE INSERTED BY UTILITY)		ISSUED BY	(TO BE INSERTED BY C.P.U.C.)	
Advice	1298	J. T. LINAM	Date Filed	_____
Decision		DIRECTOR - Rates & Regulatory	Effective	_____
			Resolution	_____

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<b><u>SERVICE AREA MAP:</u></b>	
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(Continued)

(TO BE INSERTED BY UTILITY) Advice      1298 Decision	ISSUED BY J. T. LINAM DIRECTOR - Rates & Regulatory	(TO BE INSERTED BY C.P.U.C.) Date Filed _____ Effective      _____ Resolution     _____
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**MONTEREY COUNTY DISTRICT SERVICE LIST**  
**CALIFORNIA-AMERICAN WATER COMPANY**  
**ADVICE LETTER 1298**

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**ADVICE LETTER 1298**

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**MONTEREY COUNTY DISTRICT SERVICE LIST**  
**CALIFORNIA-AMERICAN WATER COMPANY**  
**ADVICE LETTER 1298**

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